

## PETITION AND DECLARATION

### TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Your petitioner Ferdinand Besik, a citizen of Canada and a resident of Mississauga, Ontario, whose post office address is 2562 Oshkin Crt, Mississauga, Ontario, Canada, L5N 3Z3 prays that letters patent may be granted to him for the improvement in the apparatus set forth in the following specification:

#### "ROTATING FILM SHELL AND TUBE TYPE HEAT EXCHANGER - EVAPORATOR"

10 The above named petitioner declares that he is a citizen of Canada and a resident of Mississauga, Ontario, that he verily believes himself to be the original, first, and sole inventor of the improvement in the apparatus described and claimed in the annexed specification;

that he does not know and does not believe that the same was ever known or used before in his invention thereof, or more than one year prior to this application, or in public use or on sale in the United States more than one year prior to this application;

that said invention has not been patented in any country foreign to the United States on an application filed by him or his legal representatives or assigns more than twelve months prior to this application;

that he acknowledges his duty to disclose information of which he is aware which is material to the examination of this application, and that no application on patent for said invention has been filed by him or his

20 representatives or assigns in any country foreign to the United States, except as follows:

N/A

The undersigned petitioner declares further that all statements made herein of his knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or ant patent issuing thereon

INVENTOR: Ferdinand K. Besik .....

30 Date: 15th of December 2001.

Applicant or Patentee: FERDINAND BESIK Attorney's  
 Serial or Patent No.: \_\_\_\_\_ Docket No.: \_\_\_\_\_  
 Filed or Issued: \_\_\_\_\_  
 For: \_\_\_\_\_

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
 STATUS (37 CFR 1.9 (f) and 1.27 (b)) — INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled "ROTATING FILT SHELL AND TUBE TYPE HEAT EXCHANGER-EVAPORATOR" described in

☒ the specification filed herewith  
☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_  
☐ patent no. \_\_\_\_\_, issued \_\_\_\_\_

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

☒ no such person, concern, or organization  
☐ persons, concerns or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

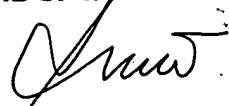
FULL NAME \_\_\_\_\_  
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☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

FERDINAND BESIK  
 NAME OF INVENTOR NAME OF INVENTOR NAME OF INVENTOR  
  
 Signature of Inventor Signature of Inventor Signature of Inventor  
DECEMBER 15, 2001  
 Date Date Date